

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11679

Initiation Date*:	2017-03-09
Title*:	Congressional Inquiry -- Kellyanne Conway
Question*:	Does OGE agree with the White House's assertion in its February 28 letter to the Director regarding the status of the Kellyanne Conway ethics matter (misuse of position) that the EOP is not covered by some of OGE's ethics rules.
Update:	
Categories*:	Other
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Capell
Last Name:	Langham
Title:	Counsel to Ranking Member Cummings, HOCR
Position:	
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Diana Veilleux
Watching:	
Temporary Notes:	
created by:	Diana Veilleux

Resolution Information

Resolution Date*:	2017-03-09
Resolution Category*:	Resolved
Response*:	He indicated that he received the OGE Director's March 9 letter to the HOCR Chair and Ranking Member, which included a copy of OGE's response to the White House's February 28 letter. I reiterated that, as expressed in those communications, OGE disagrees with the White House's assertion. (Assigned to: Diana Veilleux)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	03/10/2017 03:55:12 PM by Diana Veilleux
Now Assigned:	03/10/2017 03:55:12 PM by Diana Veilleux

Diana Veilleux	
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Division(s) assigned: PCD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11605

Initiation Date*:	2017-03-01
Title*:	Is OGE an independent agency for regulatory clearance purposes?
Question*:	Is OGE an independent agency for regulatory clearance purposes?
Update:	
Categories*:	Other
Origin Of Interaction*:	Email
Source*:	Non-Agency Congress
First Name:	Krista
Last Name:	Boyd
Title:	Counsel (HOCR Minority)
Position:	Other
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information

Resolution Date*:	2017-03-01
Resolution Category*:	Resolved
Response*:	Explained that OGE is not an independent agency for any purpose including regulatory clearance. Must go through OIRA. (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	03/06/2017 02:37:30 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	03/06/2017 02:37:30 PM by Shelley K. Finlayson

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11602

Initiation Date*:	2017-02-28
Title*:	Misuse of position/endorsement by Counselor to the President
Question*:	Inquiry regarding response due from the White House to OGE's letter regarding potential misuse of position/endorsement by a Counselor to the President. Also, an inquiry regarding a letter from Cause of Action about the same incident.
Update:	
Categories*:	Misuse of Position
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Krista
Last Name:	Boyd
Title:	Counsel (HOCR Minority)
Position:	Other
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information

Resolution Date*:	2017-02-28
Resolution Category*:	Resolved
Response*:	Updated on status of White House response, potential next steps, and receipt of Cause of Action letter. (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
<input type="button" value="reopen"/>	

Interaction History Log

Closed	03/06/2017 02:30:14 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	03/06/2017 02:30:14 PM by Shelley K. Finlayson

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11395

Initiation Date*	2017-02-09
Title*	Congressional Inquiry
Question*	Upon request, provided technical assistance re 2635.702 and 2638 Subpart E. Note: Marked category of "other" in reference to 2638 Subpart E.
Update:	
Categories*	Misuse of Position, Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name:	Susanne
Last Name:	Grooms
Title:	HOCR
Position:	
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Jennifer Matis, Patrick Shepherd
Watching:	
Temporary Notes:	
created by:	Jennifer Matis

Resolution Information

Resolution Date*	2017-02-09
Resolution Category*	Resolved
Response*	See above (Assigned to: Jennifer Matis; Patrick Shepherd)
Complexity (level):	3 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Interaction History Log

Closed	02/16/2017 08:13:30 AM by Jennifer Matis
Now Assigned: Jennifer Matis	02/16/2017 08:13:30 AM by Jennifer Matis

Patrick Shepherd

Division(s) assigned: PCD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11002

Initiation Date*:	2017-01-18
Title*:	Oversight meeting
Question*:	Discussion of topics for oversight mee ing on 1/23/17 based on 1/12/17 letter.
Update:	
Categories*:	Ethics Program Administration
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Jon
Last Name:	Skladany
Title:	
Position:	Other Congressional staff (HOCR Majority)
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information

Resolution Date*:	2017-01-18
Resolution Category*:	Resolved
Response*:	Discussed potential oversight topics for meeting on 1/23/17. (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	01/24/2017 02:51 55 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:51 55 PM by Shelley K. Finlayson

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11842

Initiation Date*:	2017-03-24
Title*:	Congressional Inquiry
Question*:	Request for technical assistance on bill (HR 305).
Update:	
Categories*:	Other
Origin Of Interaction*:	Email
Source*:	Non-Agency Congress
First Name:	Jack
Last Name:	Thorlin
Title:	Senior Counsel, HOCR
Position:	
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Diana Veilleux, Jennifer Matis
Watching:	
Temporary Notes:	
created by:	Jennifer Matis

Resolution Information

Resolution Date*:	2017-03-24
Resolution Category*:	Resolved
Response*:	Provided technical assistance. (Assigned to: Diana Veilleux; Jennifer Matis)
Complexity (level):	4 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	
<input type="button" value="reopen"/>	

Interaction History Log

Closed	03/24/2017 01:26:19 PM by Jennifer Matis
Now Assigned: Jennifer Matis Diana Veilleux	03/24/2017 01:26:19 PM by Jennifer Matis

Division(s) assigned: PCD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11001	
Initiation Date*:	2017-01-15
Title*:	Oversight of OGE for reauthorization/its public communications
Question*:	Discussion of an oversight meeting
Update:	
Categories*:	Ethics Program Administration
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Jennifer Heminway/Jon Skladany
Last Name:	Krista Boyd
Title:	
Position:	Other Congressional Staff (HOCR Majority and Minority)
Email:	
Phone:	
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*:	2017-01-15
Resolution Category*:	Resolved
Response*:	Request meeting be bi-par isan and public. (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log	
Closed	01/24/2017 02:48:19 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:48:19 PM by Shelley K. Finlayson

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10998	
Initiation Date*:	2017-01-13
Title*:	Oversight of OGE's public communications
Question*:	Discussion of oversight meeting (at request of the majority) with Chairman and Ranking Member to discuss OGE's public communications and other oversight.
Update:	
Categories*:	Ethics Program Administration
Origin Of Interaction*:	Email
Source*:	Non-Agency Congress
First Name:	Krista
Last Name:	Boyd
Title:	
Position:	Other Congressional Staff (HOCR Minority)
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*:	2017-01-13
Resolution Category*:	Resolved
Response*:	Discussed proposed parameters for a meeting (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log	
Closed	01/24/2017 02:42:45 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:42:45 PM by Shelley K. Finlayson

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Division(s) assigned: PCD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10996	
Initiation Date*:	2017-01-12
Title*:	Question regarding OGE's activities
Question*:	Question regarding interest in a public hearing to discuss OGE's work
Update:	
Categories*:	Conflicting Financial Interests, Ethics Program Administration
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Krista
Last Name:	Boyd
Title:	
Position:	Other Congressional staff (HOCR Minority)
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*:	2017-01-12
Resolution Category*:	Resolved
Response*:	Discussed oversight (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log	
Closed	01/24/2017 02:38:22 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:38:22 PM by Shelley K. Finlayson

Division(s) assigned: PCD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10670

Initiation Date*	2017-01-05
Title*	Dis inc ion between "par icular matter" and "Particular matter involving specific parties"
Question*	The caller wanted informa ion concerning the distinction between "par icular matter" and "particular matter involving specific par ies" as well as how hat element of 18 USC § 208 works in conjunction wi h the element of "participating personally and substantially"? She was trying to better understand these concepts in the context of bill drafting and he effects of different language in potential bill language.
Update:	
Categories*	207, 208, Impartiality
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name:	Krista
Last Name:	Boyd
Title:	
Position:	Other Staff Member of HOCR committee
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Seth Jaffe
Watching:	
Temporary Notes:	
created by:	Seth Jaffe

Resolution Information

Resolution Date*	2017-01-05
Resolution Category*	Resolved – ELPB Consultation
Response*	I referred Krista to and gave advice consistent with: 18 USC § 208; 5 CFR § 2641.201(h)(1)&(2) as well as 5 CFR § 2635 502(b) (3). I also referred Krista to and gave advice consistent with OGE's 2006 advisory on "matter" "particular matter" and "particular matter involving specific parties". (Assigned to: Seth Jaffe)
Complexity (level):	4 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	01/05/2017 05:25:18 PM by Seth Jaffe
Now Assigned: Seth Jaffe	01/05/2017 05:25:18 PM by Seth Jaffe
Closed	01/05/2017 05:25:18 PM by Seth Jaffe
Now Assigned: Seth Jaffe	01/05/2017 05:25:18 PM by Seth Jaffe

Division(s) assigned: GCLPD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10683	
Initiation Date*:	2017-01-06
Title*:	Briefing of House Judiciary Committee Staff (minority)
Question*:	Perry Apfelbaum, Chief of Staff and Chief Counsel for the House Judiciary Minority Staff wanted to speak with OGE and had some of the questions regarding conflict of interest under your purview.
Update:	
Categories*:	278s, Conflicting Financial Interests, Ethics Agreements, Outside Activities
Origin Of Interaction*:	Meeting
Source*:	Non-Agency Congress
First Name:	Joseph
Last Name:	Ehrenkrantz
Title:	Legislative Aide
Position:	Other House Committee on the Judiciary (minority staff)
Email:	(b)(6)
Phone:	(b)(6)
Other Contact Notes:	Additionally, staff from Pelosi's office was there, as well as minority staff from the HOCR committee.
Assignment:	Diana Veilleux, Seth Jaffe
Watching:	
Temporary Notes:	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*:	2017-01-06
Resolution Category*:	Resolved
Response*:	We provided a summary of OGE's role in the Executive Branch ethics program as well as answered specific questions concerning OGE's role in the nomination process for PAS nominees. (Assigned to: Diana Veilleux, Seth Jaffe)
Complexity (level):	4 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Interaction History Log	
Closed	01/06/2017 04:30:35 PM by Seth Jaffe
Now Assigned:	01/06/2017 04:30:35 PM by Seth Jaffe

Seth Jaffe Diana Veilleux	
Closed	01/06/2017 04:30:35 PM by Seth Jaffe
Now Assigned: Seth Jaffe Diana Veilleux	01/06/2017 04:30:35 PM by Seth Jaffe
Closed	01/06/2017 04:30:35 PM by Seth Jaffe
Now Assigned: Seth Jaffe Diana Veilleux	01/06/2017 04:30:35 PM by Seth Jaffe

Division(s) assigned: PCD; GCLPD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10403	
Initiation Date*:	2016-12-03
Title*:	HOCR Chairman request for meeting with Director
Question*:	Explain OGE's recent social media activities and provide an update on the status of the Presidential transition from OGE perspective, including conflict of interest issues.
Update:	
Categories*:	208, 278s, Conflicting Financial Interests
Origin Of Interaction*:	Email
Source*:	Non-Agency Congress
First Name:	Jennifer
Last Name:	Hemingway
Title:	Chief of Staff (HOCR Majority staff)
Position:	Other Congressional staff
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*:	2016-12-09
Resolution Category*:	Resolved
Response*:	Exchanged information regarding purpose of meeting. Schedulers attempted to coordinate time to meet. House adjourned and Chairman departed before meeting occurred. (Assigned to: Shelley K. Finlayson)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	Matthew A. Marinec
reopen	

Interaction History Log	
Closed	12/12/2016 08:22:11 AM by Shelley K. Finlayson
Now Assigned:	12/12/2016 08:22:11 AM by Shelley K. Finlayson

Shelley K. Finlayson	
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Division(s) assigned: PCD

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10402	
Initiation Date*:	2016-12-09
Title*:	Request for information on conflicts of interest
Question*:	What conflict of interest laws apply to the President-elect? How are conflicts addressed for PAS nominees?
Update:	
Categories*:	208, 278s, Conflicting Financial Interests
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	K.
Last Name:	Boyd
Title:	Counsel HOCR (Minority staff)
Position:	
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*:	2016-12-09
Resolution Category*:	Resolved
Response*:	Provided brief overview. In-depth congressional staff briefing requested. (Assigned to: Shelley K. Finlayson)
Complexity (level):	2 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Interaction History Log	
Closed	12/12/2016 08:15:24 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	12/12/2016 08:15:24 AM by Shelley K. Finlayson

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10090

Initiation Date*	2016-11-14
Title*	Presidential ethics requirements and blind trusts
Question*	House Oversight and Government Reform (minority)
Update:	
Categories*	208, 278s, Trusts
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name:	
Last Name:	
Title:	
Position:	
Email:	
Phone:	
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information

Resolution Date*	2016-11-14
Resolution Category*	Resolved
Response*	Provided overview of filing and blind trust requirements. Referred to statute and materials on our website. (Assigned to: Shelley K. Finlayson)
Complexity (level):	2 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

2016-11-15, Shelley Finlayson: Resolved Provided overview of filing and blind trust requirements. Referred to statute and materials on our website., 2016-11-15, Shelley Finlayson: Created

Interaction History Log

AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10401	
Initiation Date*:	2016-12-08
Title*:	Status of incoming administration's work with OGE on PAS nominee FD review/Presidential transition
Question*:	How do the incoming administration's efforts compare with recommended best practice/targets set prior to election?
Update:	
Categories*:	278s, Conflicting Financial Interests
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Kapil
Last Name:	Longani
Title:	Counsel HOCR (Minority staff)
Position:	Other Congressional staff
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*:	2016-12-09
Resolution Category*:	Resolved
Response*:	Provided phone briefing (Assigned to: Shelley K. Finlayson)
Complexity (level):	2 [Click to view/hide complexity guidelines]
Time Spent (hours):	1-4 hours
Contributor:	Deborah J. Bortot, Heather A. Jones, Seth Jaffe
reopen	

Interaction History Log	
Closed	12/12/2016 08:10:26 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	12/12/2016 08:10:26 AM by Shelley K. Finlayson

Division(s) assigned: PCD